

**Meeting**

**Governance, AUDIT, RISK MANAGEMENT AND STANDARDS COMMITTEE (GARMS)**

**Date and time**

**Monday 16TH OCTOBER, 2023**

**At 7.00 PM**

**Venue**

**Hendon TOWN HALL, THE BURROUGHS, LONDON NW4 4BQ**

**To: Members of Governance, AUDIT, RISK MANAGEMENT AND STANDARDS COMMITTEE (GARMS) (quorum 3)**

Chair: Councillor Arjun Mittra  
Vice Chair: Councillor Ella Rose

Simon Radford  
Rohit Grover

Humayune Khalick  
Peter Zinkin

Richard Harbord  
(Independent Member)

Jack Chan (Independent  
Member)

**Substitute Members**

Shuey Gordon

Geof Cooke  
Edith David

Alex Prager  
Laithe Jajeh

In line with the Constitution's Public Participation and Engagement Rules, requests to submit public questions or comments must be submitted by 10AM on the third working day before the date of the committee meeting. Therefore, the deadline for this meeting is 11 October 2023 at 10AM. Requests must be submitted to Farah Hussain Andrew.Charlwood@Barnet.gov.uk 020 8359 2014

**You are requested to attend the above meeting for which an agenda is attached.**

**Andrew Charlwood – Head of Governance**

Governance Service contact: Andrew Charlwood Andrew.Charlwood@Barnet.gov.uk 020 8359 2014

Media Relations Contact: Tristan Garrick 020 8359 2454 [Tristan.Garrick@Barnet.gov.uk](mailto:Tristan.Garrick@Barnet.gov.uk)

**Assurance Group**

*Please consider the environment before printing.*

**Recording of Meetings**

Members of the public have the right to film, record or photograph public meetings. You may be asked to stop filming, photographing or recording a meeting if the Chair feels that the activity is disrupting the meeting. The meeting may be broadcast live or recorded for later transmission by the Council. Recordings may be available live or viewed for twelve months afterwards. If you are attending the meeting either in person or online, you understand that you may be picked up in recordings made by the public or the Council.

## Order of Business

Item No	Title of Report	Pages
1.	Absence of Members	
2.	Minutes of the Previous Meeting	5 - 10
3.	Declaration of Members' Disclosable Pecuniary Interests and Other Interests (if any)	
4.	Dispensations by the Monitoring Officer (if any)	
5.	Deputations (if any)	
6.	Public Questions and Comments (if any)	
7.	Status of the 2020/21 audit and plans for 2021/22 and 2022/23 audit delivery	11 - 30
8.	Local Government & Social Care Ombudsman (LGSCO) and Housing Ombudsman Summary of Upheld Complaints report 2022/23	31 - 80
9.	Q1 2023-24 Corporate Risk Register	81 - 100
10.	Internal Audit Exception Recommendations and Progress Report Q2 1st July - 30th September 2023	101 - 142
11.	Governance Review Project Closedown	143 - 168
12.	Code of Conduct Allegations 2022/23	169 - 172
13.	Petitions (if any)	
14.	Committee Forward Work Programme	173 - 178
15.	Any item(s) that the Chair decides are urgent	

**Facilities for people with disabilities**

Hendon Town Hall has access for wheelchair users including lifts and toilets. If you wish to let us know in advance that you will be attending the meeting, please telephone Andrew Charlwood. People with hearing difficulties who have a text phone, may telephone our minicom number on 020 8203 8942. All of our Committee Rooms also have induction loops.

### **Fire/emergency evacuation procedure**

If the fire alarm sounds continuously, or if you are instructed to do so, you must leave the building by the nearest available exit. You will be directed to the nearest exit by uniformed custodians. It is vital you follow their instructions.

You should proceed calmly; do not run and do not use the lifts.

Do not stop to collect personal belongings

Once you are outside, please do not wait immediately next to the building, but move some distance away and await further instructions.

Do not re-enter the building until told to do so.